PTO/SB/21 (AW)(03-03)
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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| Application Number   | 10/626,075          |  |
|----------------------|---------------------|--|
| Filing Date          | July 24, 2003       |  |
| First Named Inventor | T. Yamaguchi et al. |  |
| Art Unit             | To Be Assigned      |  |
| Examiner Name        | To Be Assigned      |  |
| Attorney Docket No.  | MDA-2880US3         |  |

| ENCLOSURES (Check all that apply)  |                                       |   |             |   |  |  |
|--|---------------------------------------|---|-------------|---|--|--|
| Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/Declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement Certified Copy of Priority Document(s)  Response to Missing Parts/Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53 | Dra Lica Pet Pro Cha Addo Ten         | ensing-related Papers  cition  cition to Convert to a  evisional Application  ever of Attorney, Revocation,  ange of Correspondence  dress  minal Disclaimer  quest for Refund  Number of CD(s) |             | After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  return postcard Forms 1449 (copy) |  |  |
| SIGNATURE  | OF APPLI                              | CANT, ATTORNEY OR A   | GENT        |   |  |  |
| Firm or Individual Signature  Date September 10, 2003  |                                       | Registration No. (Attorney/A  |             | 19,717  |  |  |
| CERTIF   | CERTIFICATE OF TRANSMISSION / MAILING |   |             |   |  |  |
| I hereby certify that this correspondence is being facsimile postage as first class mail in an envelope addressed to: Co VA 22313-1450 on this date:  Name (Print/Type)  Allan Ratner  | transmitted to                        | the USPTO or deposited with the L   | Inited Stat | es Postal Service with sufficient  September 10, 2003   |  |  |
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/626,075

Applicant:

T. Yamaguchi et al.

Filed:

July 24, 2003

Title:

METHOD AND APPARATUS FOR PROCESSING A DATA SERIES

INCLUDING PROCESSING PRIORITY DATA (AS AMENDED)

TC/A.U.:

To Be Assigned

Examiner: Confirmation No.:

To Be Assigned To Be Assigned

Docket No.:

MDA-2880US3

## CORRECTION TO INFORMATION DISCLOSURE STATEMENT FILED JULY 24, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

In the Information Disclosure Statement filed July 24, 2003, the following references were incorrectly cited.

- 1) US  $5,\underline{1}17,145$ : The correct reference number is US  $5,\underline{6}17,145$ ; and
- 2) Decision of Rejection for Japanese Application No. 10-065582 mailed January 7, 2003: The correct reference number is 10-065581.

The enclosed Form 1449 previously submitted with the July 24, 2003, Information Disclosure Statement has been corrected.

MDA-2880US3 PATENT

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Allan Ratner, Reg. No. 19,717 Jack J. Jankovitz, Reg. No. 42,690 Attorneys for Applicants

AR/fp

Enclosure:

PTO Form 1449

Dated:

September 10, 2003

Suite 301, One Westlakes, Berwyn P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

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SHEET 1 of 3

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|----------------------|--------------------------|--|--|--|
| Application Number   | To-Be Assigned 10/62/075 |  |  |  |
| Filing Date          | HEREWITH 4 7/24/03       |  |  |  |
| First Named Inventor | T. Yamaguchi et al.      |  |  |  |
| Art Unit             | To Be Assigned           |  |  |  |
| Examiner Name        | To Be Assigned           |  |  |  |
| Attorney Docket No.  | MDA-2880US3              |  |  |  |

|                       | U.S. PATENT DOCUMENTS |  |                                  |  |   |
|-----------------------|-----------------------|--|----------------------------------|--|---|
|                       |                       | Document Number                            |                                  |  | Pages, Columns, Lines, Where                    |
| Examiner<br>Initials* | Cite<br>No.1          | Number - Kind Code <sup>2 (if known)</sup> | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |
| -                     |                       | US 6,094,457                               | -07/00                           | Linzer et al.                                      | Entire document.                                |
|                       |                       | US 5,117,145 76/7/45                       | 04/97                            | Huang et al.                                       | Entire document.                                |
|                       |                       | US 5,699,474                               | 12/16/97                         | Suzuki et al.                                      | Entire document.                                |
|                       |                       | US 6,088,360 A                             | 07/11/00                         | Amaral et al.                                      | Entire document.                                |
|                       |                       | US 5,512,939 A                             | 04/30/96                         | Zhou   | Entire document.                                |
|                       |                       | US 5,231,492 A                             | 07/27/93                         | Dangi et al.                                       | Entire document.                                |
|                       |                       | US 5,928,330 A                             | 07/27/99                         | Goetz et al.                                       | Entire document.                                |

|                       | FOREIGN PATENT DOCUMENTS |  |                                  |   |  |                |  |
|-----------------------|--------------------------|--|----------------------------------|---|--|----------------|--|
| Examiner<br>Initials* | Cite<br>No.1             | Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known) | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |  |
|                       |                          | JP 02-86241 (with English abstract)  | 03/27/90                         |   | Entire document.   |                |  |
|                       |                          | JP 03-276941 (with English abstract)   | 12/09/91                         |   | Entire document.   |                |  |
|                       |                          | JP 04-92536 (with English abstract)  | 03/25/92                         |   | Entire document.   |                |  |
|                       |                          | JP 05-30138 (with English abstract)  | 2/5/93                           |   | Entire document.   |                |  |
| -                     |                          | JP 05-64167 (with English abstract)  | 3/12/93                          |   | Entire document.   |                |  |
|                       |                          | JP 05-137125 (with English abstract)   | 6/1/93                           |   | Entire document.   |                |  |
|                       |                          | JP 05-327758 (with English abstract)   | 12/10/93                         |   | Entire document.   |                |  |
|                       |                          | JP 06-339137 (with English abstract)   | 12/06/94                         |   | Entire document.   |                |  |
|                       |                          | JP 07-264573 (with English abstract)   | 10/13/95                         |   | Entire document.   |                |  |
|                       |                          | JP 07-312756 (with English abstract)   | 11/28/95                         |   | Entire document.   |                |  |
|                       |                          | JP 08-18524 (with English abstract)  | 01/19/96                         |   | Entire document.   |                |  |
|                       |                          | JP 09-191453 (with English abstract)   | 07/22/97                         |   | Entire document.   |                |  |
|                       |                          | JP 09-214447 (with English abstract)   | 08/15/97                         |   | Entire document.   |                |  |
|                       |                          | JP 10-164530 (with English abstract)   | 06/19/98                         |   | Entire document.   |                |  |
|                       |                          | JP 10-232658 (with English abstract)   | 09/02/98                         |   | Entire document.   |                |  |
|                       |                          | JP 10-243374 (with English abstract)   | 09/11/98                         |   | Entire document.   |                |  |

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| Examiner  |          | Date       |  |
| Signature |          | Considered |  |

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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|                      | Complete if Known         |            |  |  |  |
|----------------------|---------------------------|------------|--|--|--|
| Application Number   | <del>To Be Assigned</del> | 10/626/075 |  |  |  |
| Filing Date          | Herewith                  | 7/24/03    |  |  |  |
| First Named Inventor | T. Yamaguchi et al.       | 7 7        |  |  |  |
| Art Unit             | To Be Assigned            |            |  |  |  |
| Examiner Name        | To Be Assigned            |            |  |  |  |
| Attorney Docket No.  | MDA-2880US3               |            |  |  |  |

|                       | U.S. PATENT DOCUMENTS |  |                                  |  |   |
|-----------------------|-----------------------|--|----------------------------------|--|---|
|                       |                       | Document Number                            |                                  |  | Pages, Columns, Lines, Where                    |
| Examiner<br>Initials* | Cite<br>No.1          | Number - Kind Code <sup>2 (if known)</sup> | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |
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| FOREIGN PATENT DOCUMENTS |              |  |                                  |   |  |                |
|--------------------------|--------------|--|----------------------------------|---|--|----------------|
| Examiner<br>Initials*    | Cite<br>No.1 | Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup> | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|                          |              | JP 63-73786 (with English abstract)  | 4/4/88                           |   | Entire document.   |                |
|                          |              | JP 05-244435 (with English abstract)   | 9/21/93                          |   | Entire document.   |                |
|                          |              | JP 06-70307 (with English abstract)  | 3/11/94                          |   | Entire document.   |                |
|                          |              | JP 06-276480 (with English abstract)   | 9/30/94                          |   | Entire document.   |                |
|                          |              | JP 06-350649 (with English abstract)   | 12/22/94                         |   | Entire document.   |                |
|                          |              | JP 08-294123 (with English abstract)   | 11/5/96                          |   | Entire document.   |                |
|                          |              | JP 09-98430 (with English abstract)  | 4/8/97                           |   | Entire document.   |                |
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| Examiner  | Date       |
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| Signature | Considered |

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<sup>&</sup>quot;Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE approvork Reduced Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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|--|----------------------|---------------------|------------|
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT | Application Number   | To Be Assigned      | 10/626,015 |
|  | Filing Date          | Herewith            | 7/24/03    |
|  | First Named Inventor | T. Yamaguchi et al. | 7 7        |
| (Use as many sheets as necessary)                | Art Unit             | To Be Assigned      |            |
|  | Examiner Name        | To Be Assigned      |            |
| SHEET 3 of 3                                     | Attorney Docket No.  | MDA-2880US3         |            |

|                       |              | NON-PATENT LITERATURE DOCUMENTS   | ***           |  |  |  |  |
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| Examiner<br>Initials* | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.             |               |  |  |  |  |
|                       |              | H. Shimamura et al., "A Modeling of Multimedia Presentation with Quality Control", Research Report of Information Processing Society, 94-DPS-66-20, pp. 115-120, July 8, 1994.  |               |  |  |  |  |
|                       |              | S. Oh et al., "The QoS Architecture in the Distributed Multimedia System Symphony", Research Report of Information Processing Society, 95-DPS-70-7, pp. 39-44, May 25, 1995.  |               |  |  |  |  |
|                       |              | Hiroshi Fujiwara "Saishin MPEG Kyokasho", ASCII, pp. 236-237, August 1, 1994 (with partial English translation).  |               |  |  |  |  |
|                       |              | Notice of Reasons of Rejection for Japanese Application No. 2002-230064 mailed January 7, 2003 (with English translation).  |               |  |  |  |  |
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|                       |              | K. Hashimoto et al., "QoS Guarantee Functions for Continuous Media Services", Research Report of Information Processing Society, Vol. 95, No. 61, 95-DPS-71, pp. 97-102, July 13, 1995.   |               |  |  |  |  |
|                       |              | D. Horie et al., "Realization of An Audio Adjustment Mechanism Considering Environment in A Distributed Multimedia System", Research Report of Information Processing Society, Vol. 96, No. 20, 96-DPS-75, pp. 79-84, March 1, 1996.  |               |  |  |  |  |
|                       |              | T. Yamaguchi et al., "Synchronized Audio and Visual Decoding and Transmission Scheme that is tolerant to Variation of Processing Environment", Research Report of Information Processing Society, Vol. 98, No. 15, 98-OS-77 and 98-DPS-87-, pp. 147-153, February 27, 1998. |               |  |  |  |  |
|                       |              | T. Nakajima, "Operating System Supports for Continuous Media", ITE Technical Report Vol. 18, No. 39, pp. 43-48, July 7, 1994.   |               |  |  |  |  |
|                       |              | Notice of Reasons for Rejection mailed September 17, 2002 for a corresponding Japanese patent application.  |               |  |  |  |  |
|                       |              | Latest MPEG Handbook", Ascii Corporation, Tokyo, 12/21/95, p. 102-107 and 236-237.  |               |  |  |  |  |
|                       |              | Japanese language search report for Int'l Appln. No. PCT/JP98/01084 dated July 21, 1998.  |               |  |  |  |  |
|                       |              | English translation of Japanese language search report.   |               |  |  |  |  |
| Examiner              | 1            | Date  | $\overline{}$ |  |  |  |  |

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